



**CANCER CLAIM FORM
CRITICAL ILLNESS/SPECIFIED DISEASE CLAIM FORM**

The Benefits Center
P.O. Box 100158, Columbia, SC 29202-3158

Toll-free: 1-800-635-5597 Fax: 1-800-447-2498
Call toll-free Monday through Friday, 8 a.m. to 8 p.m. Eastern Time.

For use with policies issued by the following Unum Group ["Unum"] subsidiaries:

Unum Life Insurance Company of America Provident Life and Accident Insurance Company

OUR COMMITMENT TO YOU

We understand an illness or injury creates emotional, physical and financial challenges and we want to do whatever we can to help you. You have our commitment to provide you with responsive service and to be understanding and sensitive to your circumstances during the claim process.

INSTRUCTIONS

When should you use this claim form?

Use this claim form to submit a critical illness/specified disease and/or cancer claim to Unum. This form should be used for the following types of claims only:

- Voluntary Benefits Critical Illness/Specified Disease
- Voluntary Benefits Cancer
- Group Critical Illness/Specified Disease
- Group Cancer

If you are covered for more than one of these products, you only have to complete this one form.

Who is responsible for completing this claim form?

The information provided on this claim form will be used to evaluate your eligibility for critical illness/specified disease and/or cancer benefits. Incomplete or illegible answers may result in a delay of benefit consideration. Please enclose any additional information you feel will assist us in the evaluation of your claim.

- **Insured/Patient Statement (pages 4-6):** Please complete this section of the claim form and fax it to 1-800-447-2498. If you prefer, you may mail it to the address noted above. If you are applying for Voluntary Benefits Cancer or Group Cancer benefits, please attach itemized bills indicating the ICD diagnosis code, the CPT-4 procedure code, and the dates of treatment, along with a copy of the pathology report. If you are applying for the Health Screening/Wellness Benefit only, please complete sections A, B, C, and G.
- Please complete the name and date of birth fields at the top of every page for easy identification purposes in case the pages become separated.
- **Authorization to Share Information with Third Parties (page 7):** If you wish to give us permission to share the details of your claim with a third party (such as your spouse, son, daughter, friend, etc.), please sign and date this form and fax it to 1-800-447-2498. If you prefer, you may mail it to the address noted above.
- **Attending Physician Statement (pages 8-9):** Please complete Part I of this statement, then give this section of the claim form to the physician or treating provider primarily responsible for your care and ask him/her to complete Part II. Your physician or treating provider should fax the completed form to 1-800-447-2498 or mail it to the address noted above. If you are applying for the Health Screening/Wellness Benefit, this statement is not required. Unum is not responsible for expenses associated with the completion of this form.
- **Insured/Patient Authorization (last page):** Please sign and date this form, provide a copy to your attending physician, and fax it to 1-800-447-2498. If you prefer, you may mail it to the address noted above. This form authorizes the release of medical and other types of information needed to evaluate your claim.

Questions?

If, at any time, you have questions about the claim process or need help to complete this form, please call the above toll-free number. Our Contact Center is staffed with experienced professionals who can be contacted from 8 a.m. to 8 p.m. Eastern Time, Monday through Friday.



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Instructions (continued) / Claim Fraud Statements

Fraud Warning

For your protection, the laws of several states, including Alaska, Arizona, Arkansas, Delaware, Idaho, Indiana, Louisiana, Maine, Maryland, New Mexico, Ohio, Oklahoma, Rhode Island, Tennessee, Texas, Virginia, Washington, and West Virginia require the following statement to appear on this claim form:

Any person who knowingly and with the intent to injure, defraud or deceive an insurance company presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Fraud Warning for Alabama Residents

For your protection, Alabama law requires the following to appear on this claim form:

Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or who knowingly presents false information in an application for insurance is guilty of a crime and may be subject to restitution fines or confinement in prison, or any combination thereof.

Fraud Warning for California Residents

For your protection, California law requires the following to appear on this claim form:

Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

Fraud Warning for Colorado Residents

For your protection, Colorado law requires the following to appear on this claim form:

It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance, and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado Division of Insurance within the Department of Regulatory Agencies.

Fraud Warning for District of Columbia Residents

For your protection, the District of Columbia requires the following to appear on this claim form:

WARNING: It is a crime to provide false or misleading information to an insurer for the purpose of defrauding the insurer or any other person. Penalties include imprisonment and/or fines. In addition, an insurer may deny insurance benefits, if false information materially related to a claim was provided by the applicant.

Fraud Warning for Florida Residents

For your protection, Florida law requires the following to appear on this claim form:

Any person who knowingly and with intent to injure, defraud or deceive any insurer, files a statement of claim or an application containing false, incomplete or misleading information is guilty of a felony of the third degree.

Fraud Warning for Kentucky Residents

For your protection, Kentucky law requires the following to appear on this claim form:

Any person who knowingly and with intent to defraud any insurance company or other person files a statement of claim containing any materially false information or conceals, for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime.

Fraud Warning for Minnesota Residents

For your protection, Minnesota law requires the following to appear on this claim form:

A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

Fraud Warning for New Hampshire Residents

For your protection, New Hampshire law requires the following to appear on this claim form:

Any person who, with a purpose to injure, defraud, or deceive any insurance company, files a statement of claim containing any false, incomplete, or misleading information is subject to prosecution and punishment for insurance fraud, as provided in RSA 638.20.



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Instructions (continued) / Claim Fraud Statements

Fraud Warning for New Jersey Residents

For your protection, New Jersey law requires the following to appear on this claim form:

Any person who knowingly and with intent to defraud any insurance company or other persons, files a statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact, material thereto, commits a fraudulent insurance act, which is a crime, subject to criminal prosecution and civil penalties.

Fraud Warning for New York Residents

For your protection, New York law requires the following to appear on this claim form:

Any person who knowingly and with the intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

Fraud Warning for Pennsylvania Residents

For your protection, Pennsylvania law requires the following to appear on this claim form:

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

Fraud Warning for Puerto Rico Residents

For your protection, Puerto Rico law requires the following to appear on this claim form:

Any person who knowingly and with the intention of defrauding presents false information in an insurance application, or presents, helps, or causes the presentation of a fraudulent claim for the payment of a loss or any other benefit, or presents more than one claim for the same damage or loss, shall incur a felony and, upon conviction, shall be sanctioned for each violation with the penalty of a fine of not less than five thousand dollars (\$5,000) and not more than ten thousand dollars (\$10,000), or a fixed term of imprisonment for three (3) years, or both penalties. If aggravating circumstances are present, the penalty thus established may be increased to a maximum of five (5) years; if extenuating circumstances are present, it may be reduced to a minimum of two (2) years.



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INSURED/PATIENT STATEMENT (PLEASE PRINT)

A. Information About the Insured

Form fields for Insured information: Last Name, Suffix, First Name, MI, Date of Birth, Social Security Number, Gender, Home Address, City, State, Zip, Home Telephone Number, Cellular Telephone Number, Work Telephone Number, Policy Number(s), Preferred e-mail address, Language Preference.

Please check all types of coverage you have with Unum.

Form fields for coverage types: Short Term Disability, Long Term Disability, Individual Disability, Life Insurance, Voluntary Benefits Disability, Voluntary Benefits Accident Insurance, Voluntary Benefits MedSupport Insurance.

While there is no legal requirement for you to provide information regarding other policies you may have with Unum, this information will help us identify any other coverage you have with us for which you may be eligible to file a claim.

B. Information About the Patient - Check One Self Spouse Domestic Partner Child

Form fields for Patient information: Last Name, Suffix, First Name, MI, Date of Birth, Social Security Number, Gender, Home Address, City, State, Zip.

Are you currently working? Yes No If no, what was your last date worked?

C. Information About Your Health Screening/Wellness Benefit Claim Complete this section for Health Screening/Wellness Benefit claims only, then go to section G.

Please check all tests performed for this patient.

- List of medical tests: Blood Test for Triglycerides, Bone Marrow Aspiration/Biopsy, Breast Ultrasound, CA 15-3, CA 125, CEA, Carotid Doppler, Chest X-Ray, Colonoscopy, Echocardiogram, Electrocardiogram, Fasting Blood Glucose Test, Fasting Plasma Glucose (FPG), Two Hour Post-Load Plasma Glucose, Hemoglobin A1C, Flexible Sigmoidoscopy, Hemocult Stool Analysis, Mammography, Pap Smear, PSA, Serum Cholesterol Test, Serum Protein Test, Serum Protein Electrophoresis, Skin Cancer Biopsy, Stress Test, Skin Cancer Biopsy, Thermography, Thin Prep Pap Test, Virtual Colonoscopy.

Date(s) test(s) performed:



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INSURED/PATIENT STATEMENT (Continued)

Insured's Name (Last Name, Suffix, First Name, MI)

Date of Birth (mm/dd/yy)

Grid for name and date of birth input

D. Information About the Condition(s) Causing the Illness Complete this section for Critical Illness/Specified Disease claims only.

Please check the illness for which you are filing this claim.

- Benign Brain Tumor, Blindness, Cancer, Carcinoma in Situ, Cerebral Palsy, Cleft Lip or Palate, Coma as the result of severe Traumatic Brain Injury, Coronary Artery Bypass Graft, Cystic Fibrosis, Down Syndrome, End Stage Renal (kidney) Failure, Heart Attack (Myocardial Infarction), Major Organ Failure, Occupational HIV, Permanent Paralysis as the result of a Covered Accident, Spina Bifida, Stroke

Date of first treatment for this condition (mm/dd/yy):

E. Information About Physicians and Hospitals

Please provide the following information about your current treatment provider(s). If you are being treated by more than two providers, please share the following information for each provider on a separate sheet of paper and include it with this form.

Form for physician information including name, address, specialty, date of visit, and contact details for two providers.

Please list any recent hospital visits/admissions. If you have had more than two recent hospital visits/admissions, please share the following information for each visit/admission on a separate sheet of paper and include it with this form.

Form for hospital visit information including hospital name, address, procedure, date of visit/admission, and date of discharge for two visits.

F. Tax Considerations

Benefit payments under this policy could be considered taxable income to the extent you pay premiums on a pre-tax basis or your employer pays premiums without including them in your income. Unum reports taxable income to you and the IRS as required on form 1099-MISC. Every tax situation is unique. You should seek independent advice if you have questions about your personal tax situation.



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INSURED/PATIENT STATEMENT (Continued)

Insured's Name (Last Name, Suffix, First Name, MI)

Date of Birth (mm/dd/yy)

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Fraud Warning: For your protection, Arizona law requires the following to appear on this claim form:

Any person who knowingly and with the intent to injure, defraud or deceive an insurance company presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

Fraud Warning: For your protection, New York law requires the following to appear on this claim form:

Any person who knowingly and with the intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

G. Signature of Insured

I have read and understand the fraud notices listed on pages 2-3 of this form. I also acknowledge that should my claim be overpaid for any reason it is my obligation to repay any such overpayment.

The above statements are true and complete to the best of my knowledge and belief. **(Your signature is required for benefit consideration.)**

X

Signature

Date

I signed on behalf of the insured, as _____ (indicate relationship). **If Power of Attorney, Guardian or Conservator, please attach a copy of the document granting authority.**



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OPTIONAL - DISCLOSING INFORMATION TO THIRD PARTIES

You are not required to sign this Optional Authorization. However, if you would like us to communicate with a family member, friend or other third party about your claim, we recommend completing the information below. Please sign and date the form as indicated and mail or fax it to the address or fax number indicated above.

Optional Authorization to Disclose Information to Third Parties

To assist in the evaluation or administration of my claim(s), I authorize Unum Group, its subsidiaries and duly authorized representatives ("Unum") to share personal health and financial information relating to my claim with the family members, friends, and/or other third parties listed below:

My Spouse: _____
(Name) (Telephone Number)

Other Family Member: _____
(Name / Relationship) (Telephone Number)

Other person: _____
(Name / Relationship) (Telephone Number)

I authorize Unum to leave messages about my claim on my voicemail / answering machine.
 Yes No

I understand that information about my claim may include information about my health and that such information about my health may be related to any disorder of the immune system including, but not limited to, HIV and AIDS; use of drugs and alcohol; and mental and physical history, condition, advice or treatment, but does not include psychotherapy notes.

I do not wish the following information about my claim to be shared (leave blank if not applicable):

I further understand that the information is subject to redisclosure and might not be protected by certain federal regulations governing the privacy of health information.

I may revoke this authorization in writing at any time except to the extent Unum or the authorized recipient of my information has relied on it prior to receiving my notice of revocation. I may revoke this Authorization by sending written notice to the address above.

This authorization is valid for the shorter of two (2) years or the duration of my claim. I may request a copy of the Authorization and a copy shall be as valid as the original.

Insured/Patient Signature Date

Printed Name Social Security Number

I signed on behalf of the claimant as _____ (indicate relationship). If Power of Attorney Designee, Personal Representative, Guardian, or Conservator, please attach a copy of the document granting authority.



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ATTENDING PHYSICIAN STATEMENT (PLEASE PRINT)

PART I: TO BE COMPLETED BY INSURED/PATIENT

Insured Name (Last Name, Suffix, First Name, MI)

Grid for Insured Name

Insured Social Security Number

Grid for Insured Social Security Number

Patient Name (Last Name, Suffix, First Name, MI)

Grid for Patient Name

Patient Social Security Number

Grid for Patient Social Security Number

Patient Relationship to Insured: Self Spouse Domestic Partner Child

Patient Date of Birth (mm/dd/yy)

Patient Gender: Male Female

Grid for Patient Date of Birth

PART II: TO BE COMPLETED BY ATTENDING PHYSICIAN OR TREATING PROVIDER

Instructions: Please complete all applicable questions and provide copies of supporting reports, such as office notes, medical records, consultations, and/or testing. Please sign and date the form.

Complete these questions for all medical conditions

Diagnosis Information

Diagnosis: _____ ICD Code: _____
Date of Diagnosis: _____ Date you were first consulted for this condition (mm/dd/yy): _____

Please check the condition(s) that applies to this patient and provide the test results, operative reports, pathology reports, and/or your detailed medical statement as required for the condition(s) indicated below (check all that apply):

Condition	Medical Documentation	Other Pertinent Information
<input type="checkbox"/> Benign Brain Tumor	Tissue Biopsy	
<input type="checkbox"/> Blindness	Metric Acuity or Snellen/E-Chart Acuity Measurements	Visual Acuity after correction L____ R____ Visual Field Restriction L____ R____
<input type="checkbox"/> Cancer	Pathology Report and/or Clinical Diagnosis	Stage: _____ Grade: _____
<input type="checkbox"/> Carcinoma in Situ	Pathology Report and/or Clinical Diagnosis	
<input type="checkbox"/> Cerebral Palsy	Clinical Diagnosis	
<input type="checkbox"/> Cleft Lip or Palate	Clinical Diagnosis	
<input type="checkbox"/> Coma (resulting from severe traumatic brain injury)	Clinical Diagnosis	Has patient experienced a continuous state of unconsciousness for 14 or more consecutive days? <input type="checkbox"/> Yes <input type="checkbox"/> No Did patient require intubation? <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Coronary Artery Bypass Surgery	Surgical report	
<input type="checkbox"/> Cystic Fibrosis	Clinical Diagnosis	
<input type="checkbox"/> Down Syndrome	Clinical Diagnosis	
<input type="checkbox"/> End Stage Renal Failure	Clinical Diagnosis	Does patient have chronic irreversible function of both kidneys? <input type="checkbox"/> Yes <input type="checkbox"/> No Does patient require regular hemodialysis or peritoneal dialysis? <input type="checkbox"/> Yes <input type="checkbox"/> No
<input type="checkbox"/> Heart Attack	Any of the following: Electrocardiograph (EKG), cardiac enzymes, thallium scans, MUGA scans, stress echocardiogram	
<input type="checkbox"/> Major Organ Transplant/Failure	Surgical Report	Is the patient on the UNOS list? <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, date added to UNOS list: _____
<input type="checkbox"/> Occupational HIV	Clinical Diagnosis	
<input type="checkbox"/> Permanent Paralysis	Clinical Diagnosis	
<input type="checkbox"/> Spina Bifida	Clinical Diagnosis	
<input type="checkbox"/> Stroke	Documented neurological deficits and/or neuroimaging studies	

Return to Work Assessment

Did you advise the patient to stop work? Yes No | If yes, when (mm/dd/yy)? _____ | Have you advised patient to return to work? Yes No | If yes, expected return to work date (mm/dd/yy): _____
 Full Time Part Time

If yes, please indicate any ongoing restrictions and limitations in the space provided on the next page.
If no, please indicate the restrictions and limitations that prevent the patient from returning to work in the space provided on the next page.



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ATTENDING PHYSICIAN STATEMENT (Continued)

Insured's Name (Last Name, First Name, MI, Suffix)

Grid for Insured's Name

Date of Birth (mm/dd/yy)

Grid for Insured's Date of Birth

Patient's Name (Last Name, First Name, MI, Suffix)

Grid for Patient's Name

Date of Birth (mm/dd/yy)

Grid for Patient's Date of Birth

CURRENT RESTRICTIONS (activities patient should not do) Please be specific.

CURRENT LIMITATIONS (activities patient cannot do) Please be specific.

Hospitalizations and Other Treating Providers

Has the patient been treated for the same or similar condition by another physician in the past? Yes No Unknown If yes, list below.

Other Providers: Please provide complete name, contact information and specialty of any other treating physicians or hospitals.

Name	Specialty	Address	Phone #	Fax #	Treatment	
					From	To

Has patient been hospitalized? Yes No If yes, date hospitalized (mm/dd/yy): through (mm/dd/yy):

Facility Name

Address

City State Zip

Was surgery performed? Yes No If yes, CPT 4 code(s): Date Surgery Performed (mm/dd/yy):

Is the patient still under your care? Yes No If no, final date of treatment (mm/dd/yy):

FRAUD NOTICE: Any person who knowingly files a statement of claim containing false or misleading information is subject to criminal and civil penalties. This includes Attending Physician portions of the claim form.

Signature of Attending Physician

The above statements are true and complete to the best of my knowledge and belief.

Physician Name (Last Name, Suffix, First Name, MI) Please Print

Medical Specialty Degree

Address

City State Zip

Telephone Number Fax Number Physician's Tax ID Number:

Are you related to this patient? Yes No If yes, what is the relationship?

X

Physician Signature

Date



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Please sign and return this authorization to The Benefits Center at the address above. You are entitled to receive a copy of this authorization. This authorization is designed to comply with the Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule.

Authorization

I authorize health care professionals, hospitals, clinics, laboratories, pharmacies and all other medical or medically related providers, facilities or services, rehabilitation professionals, vocational evaluators, health plans, insurance companies, third party administrators, insurance producers, insurance service providers, consumer reporting agencies including credit bureaus, the MIB Group, Inc., The Advocator Group and other Social Security advocacy vendors, Social Security advocacy vendor, The Association of Life Insurance Companies (which operates the Health Claims Index and the Disability Income Record System), professional licensing bodies, employers, attorneys, financial institutions and/or banks, and governmental entities;

To disclose information, whether from before, during or after the date of this authorization, about my health, including HIV, AIDS or other disorders of the immune system, use of drugs or alcohol, mental or physical history, condition, advice or treatment (except this authorization does not authorize release of psychotherapy notes), prescription drug history, earnings, financial or credit history, professional licenses, employment history, insurance claims and benefits, and all other claims and benefits, including Social Security claims and benefits (“My Information”);

To the following persons: Unum Group and its subsidiaries, Unum Life Insurance Company of America, Provident Life and Accident Insurance Company, The Paul Revere Life Insurance Company, and persons who evaluate claims for any of those companies (“Unum”);

For the purposes of evaluating and administering claims, including assistance with return to work. For evaluation and administration of claims, this authorization is valid for two years or the duration of my claim for benefits, whichever is shorter.

I also authorize Unum to disclose My Information to any insurance broker; employee benefit plan sponsored by my employer; my employer; any person providing services to, or insurance benefits on behalf of my employer, any such plan, or any benefit offered by Unum; or the Social Security Administration, for the purpose of reporting claim status or experience, or so that the recipient may carry out health care operations, claims payment, administrative or audit functions related to any benefit, plan or claim. Unum will not condition the payment of insurance benefits on whether I authorize the disclosures described in this paragraph. For the purposes of these disclosures, this authorization is valid for one year or for the length of time otherwise permitted by law.

Information authorized for use or disclosure may include information which may indicate the presence of a communicable or non-communicable disease.

If I do not sign this authorization or if I alter or revoke it, except as otherwise specified, Unum may not be able to evaluate or administer my claim(s), which may lead to my claim(s) being denied. I may revoke this authorization at any time by sending written notice to the address above. I understand that revocation will not apply to any information that is requested prior to Unum receiving notice of revocation.

The privacy protections established by HIPAA may not apply to information disclosed under this authorization, but other privacy laws do apply. Information disclosed under this authorization may be redisclosed only as permitted or required by law, including state fraud reporting laws.

Insured's Signature

Date Signed

Printed Name

Social Security Number

I signed on behalf of the Insured as _____ (Relationship). If Power of Attorney Designee, Guardian, or Conservator, please attach a copy of the document granting authority.